FILING FOR E-RATE FOR PLAIN OLD TELEPHONE SERVICE FOR A LIBRARY **SYSTEM WITH BRANCHES**

Step 1—Form 470

Deadline for 2010-2011 is January 13, 2010. Deadlines are posted at:

* http://www.universalservice.org/sl/tools/deadlines/default.aspx

E-rate Contact Information:

State E-rate Coordinator, MOREnet: Rebecca Miller, Esq., millerrj@more.net

State Library, Technology Consultant: Jean Morrison, Jean.Morrison@sos.mo.gov

A technology plan is <u>not</u> required to file for Plain Old Telephone Service but is required for discount or reimbursement of <u>all other services</u>. December 3, 2009

Contents:

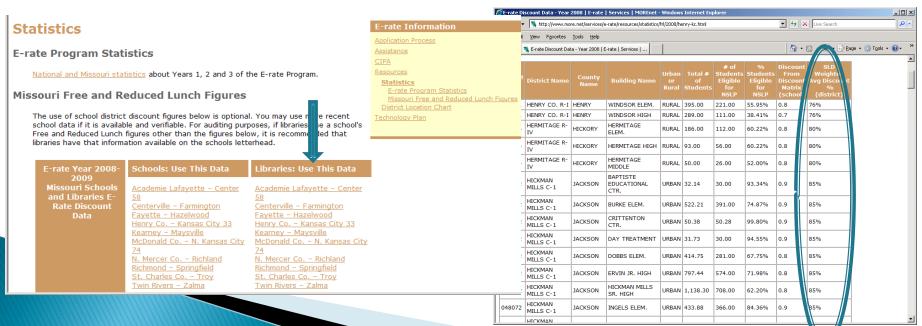
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Before You Begin:

- Best Practice: Keep a copy of all forms and communications about your E-rate application in an E-rate folder or binder by year.
- ▶ The American Library Association (ALA) E-rate Taskforce recommends that the Library Director check to make sure that there is a Library Board item recorded granting the library director the "authority" to complete the E-rate process on behalf of the library before filing the Form 470. Consider including authority to file the Letter of Agency with MOREnet.
- A printout of this board action should be kept with the E-rate documentation to make it easier to locate in event of an E-rate audit.
- Rebecca Miller of MOREnet periodically sends out notices that provide information on E-rate through a listserv <u>usf@lists.more.net</u>. If you are not receiving mail from this listserv and would like to, please contact Rebecca Miller.

How much will we get back? Finding the Percentage of Free and Reduced Lunch

- Look up your School District Free and Reduced lunch percentage when it becomes available at: http://www.more.net/services/e-rate/resources/statistics/index.html
- Notice that there are "Statistics" columns for "Schools: Use This Data" and "Libraries: Use this Data". (Shown in the screenshot on the lower left). Choose the section (under the arrow below) that contains the school district closest to the library.
- Scroll down the list that comes up to find line for your school district. The column on the right end (circled) is your percentage. Multiply that % times your telephone bill*—this is approximately what you would receive.
- An updated list of Eligible/Ineligible services is posted by USAC each year at:
- * http://www.universalservice.org/sl/tools/eligible-services-list.aspx



The Process

Four Forms are filed to complete the E-rate process

- Form 470-Description of Services Requested and Certification Form—Universal Service Administrative Company (USAC) responds with a letter on colored paper, for FY2009, the paper is yellow
- Form 471-Services Ordered and Certification Form—USAC responds with a "Receipt Acknowledgement Letter" then after the application has been thoroughly reviewed and all questions cleared up, the library receives a "Funding Commitment Decision Letter" on the same color of paper
- Form 486-Services Confirmed—USAC responds with an "Applicant 486 Notification Letter"
- 4. You have a choice:Form 472-Billed Entity Application Reimbursement—USAC responds with a BEAR Notification Letter, which the library can correct errors on and resubmit if needed. You have the option to file the
 - OR Form 474 to receive discounts on your bills. See the comparison on slide 8 before deciding which form to file

See the next slide for the timeline

Timeline

- Form 470 is filed after July 1 each year for the next Fiscal Year, with a deadline around mid-January
- Form 471 is filed at least 28 days after the Form 470 is posted on the USAC Website
- Form 486 is submitted no later than 120 days after the <u>date of the Funding Commitment Decision letter</u> OR 120 days after the <u>Service Start Date</u>, whichever is later (*if the beginning of the telephone service to be partly funded is July 1, 2009, the deadline would be 120 days later or October 29, 2009)
- You have a Choice: Form 472 is filed periodically (quarterly is recommended) no more than 120 days after the date of the Form 486 Notification Letter OR 120 days after the last date to receive service, whichever is later (**if the last date of service is June 30, 2011, the deadline for the last quarterly report would be October 28, 2011). Reimbursement by check or credit is received Quarterly

OR Form 474-Service Provider Invoice Form, which is used if you would like to have your bills discounted before you receive them

A chart of the timeline is located at:

http://www.more.net/services/e-rate.steps.html

- *Assumption is that the Funding Commitment Decision Letter was dated before service began (July 1, 2010)
- **Assumption is that the date of the Form 472 Notification Letter is dated before the last date to receive service (June 30, 2011)

Filing for E-rate

- The Federal Communications Commission (FCC) Form 470 (Description of Services Requested and Certification Form) opens the process.
- This form can be filed after July 1 each year for the next fiscal year.
- This form gives USAC your library's contact information and tells them what the service(s) are that you are requesting discount or reimbursement for.
- The Form 470 can be completed online.
- The online form is available at: <u>http://www.sl.universalservice.org/menu.asp</u>
- The paper form for draft purposes is available at: <u>http://www.universalservice.org/sl/tools/required-forms.aspx</u>

Discount or Reimbursement?

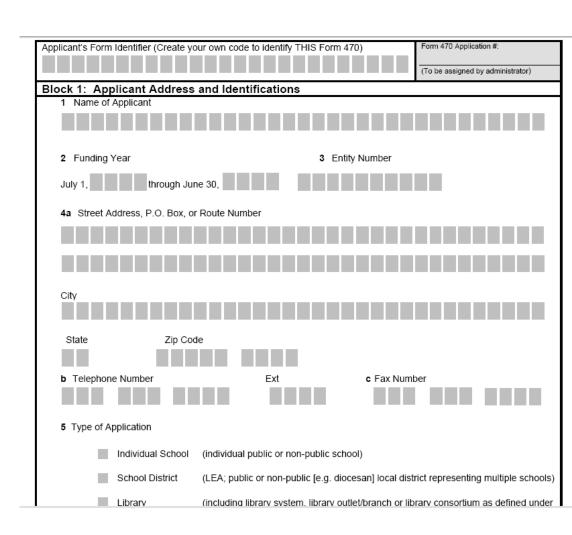
- The result of applying for a "Discount" is that the discount amount is applied to your bill before you get it. (This is not recommended by ALA at this time since this method does not allow the library to confirm that services were discounted or that the discount was applied correctly.)
- Applying for a "Reimbursement" means that you will pay your full bill and receive the money back as a lump sum when you request it quarterly, semi-annually, or annually. (Quarterly is recommended)

The Following Slides will Lead you Through Completing the FCC Form 470!

- First Timers: Print the paper form to see what information you need.
- Block 1: Applicant Address and Identifications
- Applicant's Form Identifier—this is <u>YOUR</u> number, it can be any combination of letters and numbers such as (Name-Date):

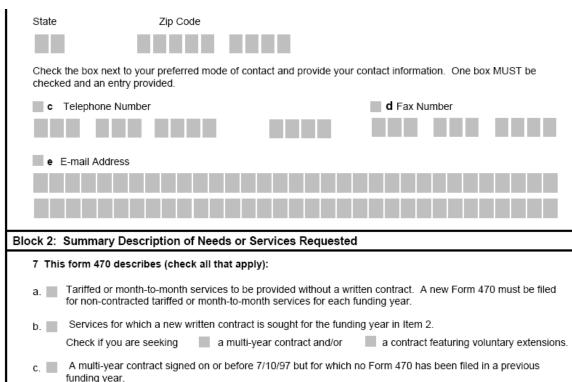
XYZ-PUB-LIB-10-01-08

 Checkmark "Library" in section 5 (Continued on the next slide)



Summary Description of Needs or Services Requested—Block 2

- The top section you see here is the bottom part of Block 1
- In Block 2 select <u>7a</u> for Telephone and/or Cellular Phone Service
- NOTE: If using a cellular phone, all calls must be for business use only! If the cellular phone will be used for personal calls, the portion of the service used for personal calls is ineligible and must be removed from the eligible amount.
- Cellular Phones that have Internet access should not be filed for E-rate service reimbursement here. They need to be filed as "Internet Access".



What kinds of service are you seeking: Telecommunications Services, Internet Access, Internal Connections Other than Basic Maintenance, or Basic Maintenance of Internal Connections? Refer to the Eligible Services List at www.sl.universalservice.org for examples. Check the relevant category or categories (8, 9, 10 and/or 11 below), and answer the questions in each category you select.

as an existing contract do NOT require filing of a new Form 470.

NOTE: Services that are covered by a signed, written contract executed pursuant to posting of a Form 470

in a previous funding year OR a contract signed on/before 7/10/97 and previously reported on a Form 470

Block 2—Continued

Telecommunications Service

- Section 8 of Block 2
- Do not check "a" unless you have an "Request for Proposal" (RFP) posted on a website inviting bids for your telephone or cellular service
- Checkmark "b" if you do not have an RFP
- Checkmark the second box to the right of "c" which is "Check this box if you prefer reimbursement after paying your bill in full." (This is the preferred choice see Slide 8 for explanation)
- Complete the sections 1) Service or Function by typing in "Local and long distance telephone"
- Complete the 1) "Quantity and/or Capacity" by counting the number of outside telephone numbers you have, including numbers used exclusively for fax, toll-free, and enter the total.

Ble	Block 2: Summary Description of Needs or Services Requested (Continued)				
8	Telecommunications Services Item 8, page of				
	Do you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.				
а	YES, I have released or intend to release an RFP for these services. It is available or will become available on the Web at:				
	or via (check one) the Contact Person in Item 6 or the contact listed in Item 12.				
b	NO, I have not released and do not intend to release an RFP for these services.				
	Whether you check YES or NO, you must list below the Telecommunications Services you seek. Specify each service or function (e.g. local voice service) and quantity and/or capacity (e.g. 20 existing lines plus 10 new ones). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Telecommunications Services. Remember that only eligible telecommunications providers can provide these services under the universal service support mechanism. Attach additional pages if needed.				
С	Check this box if you prefer discounts on your bill. Check this box if you prefer reimbursement after paying your bill in full. Check this box if you do not have a preference.				
	Service or Function				
1)	Quantity and/or Capacity				
\vdash	Consider on Franctica				
	Service or Function				
2)	Quantity and/or Capacity				
	Service or Function				
3)	Quantity and/or Capacity				

EXAMPLE: If you have 10 numbers at one branch, 4 numbers at a second branch, and 5 numbers at the main library, you can enter 20. Your number should be a few more, not less than you currently have. You can not add more later.

Details About Eligible Telecommunications (Telephone) Services

http://www.universalservice.org/sl/tools/eligible-services-list.aspx

- ▶ 800 service
- Centrex
- Local phone service
- Long distance telephone service
- Plain Old Telephone Service
- Radio loop
- Wireless telephone services, e.g., cellular service and Personal Communications Services (PCS)

Shared telephone service (Example: a library that shares a building with an entity such as a county's offices, only that portion of the shared service relating to the eligible use (the library's use) and location is eligible)

NOTE: Because technology changes from year to year, you will need to check the list each year to see what eligible services have been added.

Digital Telecommunications Components

This list is given to contrast from basic telephone service only—Digital services begin with a form 470 as well, but are not covered in this presentation.

To file for <u>Digital</u> Telecommunications, you must have a Technology Plan on file at the State Library. The source for a list of Eligible Services is: http://www.universalservice.org/sl/tools/eligible-services-list.aspx

Eligible digital telecommunications technologies include, but are not limited to:

- Components required as an integral part of a digital transmission service are eligible for discount, such as: costs of a permanent virtual circuit (PVC) costs of trunk lines reasonable installation costs
- The telecommunications component of: a <u>distance learning capability</u>, <u>video</u> <u>service</u>, <u>or interactive television is</u> <u>eligible for discount</u>.
- Paging services are eligible for a library staff member in a mobile van

- Asynchronous Transfer Mode (ATM)
- Broadband over Power Lines (BPL)
- Digital Subscriber Line (DSL)
- ▶ DS-1, DS-2, DS-3
- Fiber optics
- Frame Relay
- Integrated Services Digital Network (ISDN, BRI, PRI)
- OC−1, OC−3, OC−12, OC−n
- Satellite service
- Switched Multimegabit Data Service (SMDS)
- → T-1, T-2, T-3, Fractional T-1
- Wireless

Block 2—Continued Section 9-Internet Access

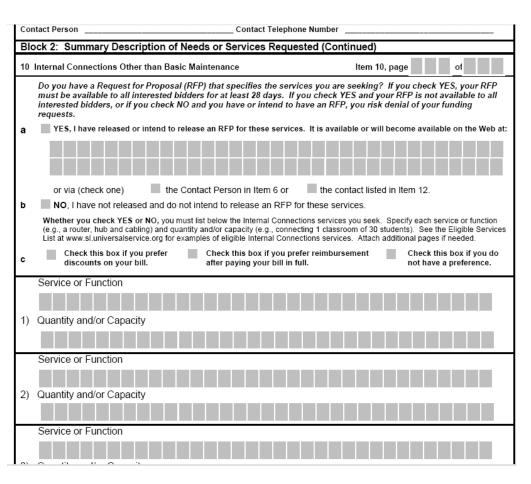
 Skip this section if you are filing for telephone services only

Enti	ty Number Applicant's Form Identifier
Con	tact Person Contact Telephone Number
Blo	ck 2: Summary Description of Needs or Services Requested (Continued)
9 Ir	nternet Access Item 9, page of of the second
	Do you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.
а	YES, I have released or intend to release an RFP for these services. It is available or will become available on the Web at:
b	or via (check one) the Contact Person in Item 6 or the contact listed in Item 12. NO, I have not released and do not intend to release an RFP for these services.
	Whether you checked YES or NO, you must list below the Internet Access services you seek. Specify each service or function (e.g., monthly Internet service) and quantity and/or capacity (e.g., for 500 users). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Internet Access services. Attach additional pages if needed.
С	Check this box if you prefer discounts on your bill. Check this box if you prefer reimbursement after paying your bill in full. Check this box if you do not have a preference.
	Service or Function
1)	Quantity and/or Capacity
	Service or Function
2)	Quantity and/or Capacity
	Convice or Function

Block 2—Continued

Section 10-Internal Connections Other than Basic Maintenance

 Skip this section if you are filing for telephone service only



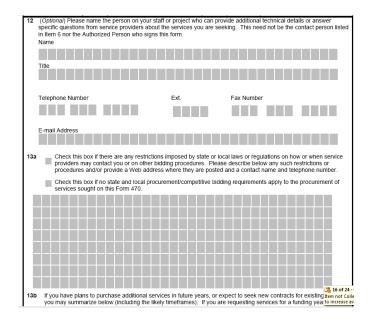
Block 2—Continued Section 11-Basic Maintenance of Internal Connections

 Skip this section if you are filing for telephone service only

Cor	ntact Person Contact Telepho	one Number
Blo	ock 2: Summary Description of Needs or Services Reque	ested (Continued)
11	Basic Maintenance of Internal Connections	Item 11, page of
	Do you have a Request for Proposal (RFP) that specifies the services available to all interested bidders for at least 28 days. If you check Y bidders, or if you check NO and you have or intend to have an RFP, y	ES and your RFP is not available to all interested
а	YES, I have released or intend to release an RFP for these services.	It is available or will become available on the Web at:
b	or via (check one) the Contact Person in Item 6 or NO, I have not released and do not intend to release an RFP with the Whether you check YES or NO, you must list below the Basic Maintenan basic maintenance of routers) and quantity and/or capacity (e.g., for 10 rowww.sl.universalservice.org for examples of eligible Basic Maintenance of the North Republic Maintenance of the Nort	nce services you seek. Specify each service or function (e.g., outers). See the Eligible Services List at
С	Check this box if you prefer discounts on your bill. Check this box if you prefer after paying your bill in full.	
1)	Service or Function Quantity and/or Capacity	
2)	Service or Function Quantity and/or Capacity	

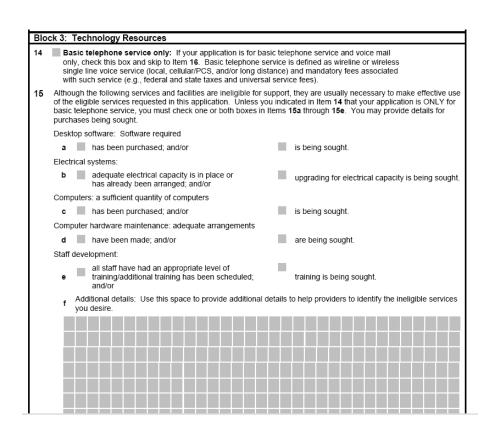
Block 2—Continued Contact Person for Technical Details

 Skip this section if you are filing for telephone service only unless there is another person on staff who could answer questions in the director's absence. This is used more for Internet Access and Internal Connections E-rate applications



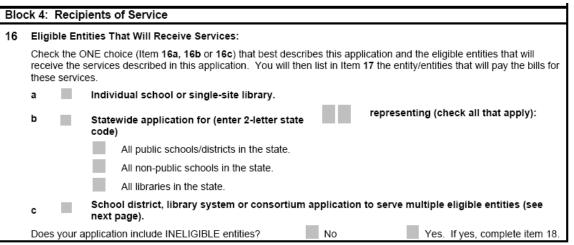
Block 3 Section 14-Basic Telephone Service Only

- Checkmark block 14 for discount or reimbursement of telephone service, including phone numbers, fax numbers, cellular phone numbers, 800- phone numbers, etc.
- Skip Block 15—this is a section you complete to receive bids or offers for ineligible services—Skip Block 15 unless you are interested in receiving information from providers and suppliers



Block 4—Recipients of Service

- Section 16-Checkmark the box marked "c" for library systems with BRANCHES
- On the last line "Does your application include INELIGIBLE entities?" If it does not, Check "NO"
- Ineligible entities (libraries that do not meet the definition of a library, etc.) are identified on the USAC website at:
- http://www.usac.org/sl/applicants/step01/



FCC Form 47

Block 4—Continued

Number of Eligible Entities and Area Code/Prefix List

- Enter the "Number of eligible_ entities"—This is the number of library entities (Main and Branches) For a library system with branches, enter the number of branches including the main library.
- List the Area Code and each prefix within that area code for all phone numbers
- Example:

First column	Second Column
573	751 526 522
800	735 347 392

Block 4: Recip	ients of Service (Continued)
16c Schoo (cont.) multip Number of eli	l district, library system or consortium application to serve Item 16c, page of of leligible entities:
For these elig	gible entities, please provide the following:
Area Codes (list each unique area code)	Prefixes associated with each area code (first 3 digits of 7-digit phone number)
1)	
2)	
3)	
4)	
5)	
6)	
7)	
8)	

Block 4—Continued

Recipients of Service

Enter the Entity Number you created and your Entity Name

Example used earlier was:

Entity Number

XYZ-PUB-LIB-10-01-08

Entity Name

XYZ Public Library

Contact Person Contact Telephone Number				
Block 4: Recipients of Service (Continued)				
17 Billed Entities Item 17, page of of List the entity/entities that will be paying the bills directly to the provider for the services requested in this application. These are known as Billed Entities. At least one line of this item must be completed. If a Billed Entity cited on your Form 471 is not listed below, funding may be denied for the funding requests associated with this Form 470. Attach additional pages if needed.				
Entity Number	Entity			
1)				
2)				
3)				
4)				
5)				
6)				
7)				
8)				
9)				
10)				
11)				
12)				
13)				

Block 4--Continued

You checked "c" on Block 4 and selected that you had no **INELIGIBLE** entities in your system of branches, you can skip this section. If you have any **INELIGIBLE** entities, identify them and their telephone Area Code and Prefix here

Contact Person	Contact Telephone Number	
Block 4: Recipients of Ser 18 Ineligible Participating Entiti for whom services are request Attach additional pages if need	ies: List the names of any entity/entities here Item 18, ted that are not eligible for the Universal Service Program.	page of
Ineligible Participating Entity		Area Code and Prefix
1)		
2)		
3)		
4)		
5)		
6)		
7)		
8)		
9)		
10)		
11)		
12)		
13)		

Block 5—Certification and Signature

U.S.C. Sec. 1001.

the program

 For Telephone Service only, checkmarks are needed in:

19 "b"

20 and 20 "a" (if you do not have a Technology Plan, contact the State Library)

21

22

23

24

25

26

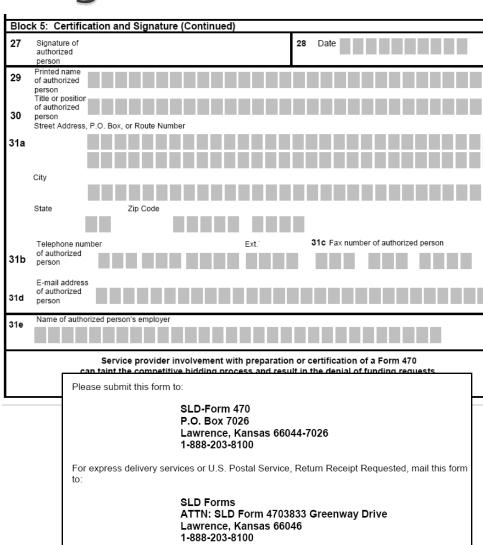
Bloc	5: Certification and Signature	
19	a schools under the statutory definitions of elementary and secondary schools found in the No Child Left Behi 2001, 20 U.S.C. Secs. 7801 (18) and (38), that do not operate as for-profit businesses, and do not have end exceeding \$50 million; and/or	
20	b libraries or library consortia eligible for asssistance from a State library administrative agency under the Libra and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely sany school (including, but not limited to elementary and secondary schools, colleges, and universities). I certify that all of the individual schools, libraries, and library consortia receiving services under this application are covered technology plans that are written, that cover all 12 months of the funding year, and that have been or will be approved to other authorized body, and an SLD-certified technology plan approver, prior to the commencement of service. The plan written at the following level(s):	separate from vered by by a state or
	a individual technology plans for using the services requested in the application; and/or	
	b higher-level technology plans for using the services requested in the application; or	
21	no technology plan needed; application requests basic local, cellular, PCS, and/or long distance telephone so voice mail only. I certify that I will post my Form 470 and (if applicable) make my RFP available for at least 28 days before considering received and selecting a service provider. I certify that all bids submitted will be carefully considered and the bid select the most cost-effective service or equipment offering, with price being the primary factor, and will be the most cost-effe of meeting educational needs and technology plan goals. I certify that I will retain required documents for a period of a years after the last day of service delivered. I certify that I will retain all documents necessary to demonstrate compliar statute and Commission rules regarding the application for, receipt of, and delivery of services receiving schools and lid discounts. I acknowledge that I may be audited pursuant to participation in the schools and libraries program.	all bids ted will be for ctive means at least five nce with the
22	I certify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for e purposes and will not be sold, resold or transferred in consideration for money or any other thing of value, except as p the Commission's rules at 47 C.F.R. Sec. 54.500(k). Additionally, I certify that the entity or entities listed on this applic not received anything of value or a promise of anything of value, other than services and equipment sought by means from the service provider, or any representative or agent thereof or any consultant in connection with this request for s	ermitted by cation have of this form,
23	I acknowledge that support under this support mechanism is conditional upon the school(s) and/or library(ies) I repressaccess, separately or through this program, to all of the resources, including computers, training, software, internal commaintenance, and electrical capacity necessary to use the services purchased effectively. I recognize that some of the aforementioned resources are not eligible for support.	nnections,
24	I certify that I am authorized to order telecommunications and other supported services for the eligible entity(ies). I certify that I am authorized to submit this request on behalf of the eligible entity(ies) listed on this application, that I have examined this to the best of my knowledge, information, and belief, all statements of fact contained herein are true.	
25	I certify that I have reviewed all applicable FCC, state, and local procurement/competitive bidding requirements and the	at I have

complied with them. I acknowledge that persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18

I acknowledge that FCC rules provide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarment from

Block 5—Continued Certification and Signature

- Signature of Authorized Person—must be signed either in pen and mailed or can be digitally signed if input was done online! (see Slide 27 to learn more about the use of a PIN)
- Date format used is 01/01/2009
- Complete ALL appropriate address sections
- Only mail the form if it has not been submitted online
- If you do not have a PIN to digitally sign the form, the Block 6 pages must be mailed to one of the addresses given



Want to practice before completing the Form 470?

- http://usactrain.solixinc.com/TrainingSiteWarning.asp?
- This is a training site with fake data and will not result in filing a form—Use your data once you enter the site using the information below:
- Use the following training login information
 - Billed Entity Name: Brad's School
 - Billed Entity Number: 145909
 - Billed Entity Email: applicant@usac.org
 - Personal Identification Number (PIN): &blahs
 - Authorized Person Last Name: Smith

What will happen with your Form 470?

- When your Form 470 is received by USAC, you will receive an RNL (Receipt Notification Letter) to add to your E-rate file.
- Your Form 470 will be posted by USAC at: http://www.universalservice.org/sl/tools/search-tools/form470-search-posted.aspx
- After being posted for 28 days, if you have not received any offers from any competing companies, you should send yourself an email stating that "no vendor offers were received", print the email and add it to your file. Then you can begin to work with your local telephone company as your vendor.
- If you receive offers, you must evaluate the offers and select one—see next slide.

Multiple Offers

If you receive multiple offers, best practice says you should evaluate them using a grid where <u>price</u> is the primary factor. You decide upon the criteria and weight for each. Print the grid and add it to your documentation. An example from the USAC website

is shown below:

http://www.usac.org/_res/docum ents/sl/pdf/2007_training/sample s-checklist-vendor-selectiontemplates.pdf?WT.mc_id=slnewsbrief-20081114

Bid Evaluation Matrix (Points Based) SAMPLE

There have been many requests for USAC to provide guidance with respect to what information should be included as you conduct your bidding process. Below is an example of information that may be helpful. In addition, retaining this type of information will be very helpful if USAC requests this information in the future.

This example is not mandatory or intended to serve any other purpose than to respond to requests for guidance.

In this example, each factor is worth the same number of points as the weighting percentage. Vendors are rated on how well they met each factor. The entries for all factors are then totaled for each vendor. The winning bidder is the one with the highest number of total points. The cost of the eligible goods and services must be weighted most heavily.

No	Factors	Total Points Available	Vendor 1 143xxxxxx ABC Inc.	Vendor 2 143xxxxxx DEF Inc.	Vendor 3 143xxxxxx GHI Inc.	Vendor 4 143xxxxxx JKL Inc.
1	Cost of the Eligible Goods and Services	40 *	38	25	38	0
2	Experience	20	18	17	20	0
3	Availability	10	10	8	7	0
4	Minority Business Status	10	6	9	9	0
5	In State Preference	10	3	7	10	11/21/11
6	Cost of the Ineligible products	5	4	1	5	
7	Project Management Expertises	5	2	1	5	
	Total Points	100	81	68	94	0

* This number must be higher than all of the other numbers in this column.

Winning Bidder: -

Vendor 3 (GHI, Inc.) is the winning bidder because it has the highest total points.

Disqualified Bidders:

Bidder Reason for Disqualification

JKL Inc All interested bidders received two weeks' notice of a required pre-bid conference.

JKL Inc. did not attend this conference and did not provide a reason for its absence.

Receiving a PIN for Digital Signing

- When you fill in the form online, print the final document. Sign the signature block and mail it to the address shown on slide 22.
- When the signature is received, a PIN is sent to you within a week of verification.
- Like any password, the PIN is not to be shared.
- For changes in personnel, DO NOT reuse a previous staff PIN!
- ▶ PIN FAQ:

http://www.universalservice.org/sl/tools/search-tools/applicant-pin-faqs.aspx#1

Notes:

- Keep a copy of <u>all</u> forms submitted in event a question comes up.
- If you get a call from a USAC reviewer, write down the person's name (ask the reviewer to spell the name if there is any doubt), date and time of the call, and keep notes as to what the person said. You can always ask for more time to review your records or consult with Rebecca Miller of MOREnet before providing answers. ALWAYS ask for any decisions or extension of time granted to you by the reviewer be confirmed in an email from the reviewer and keep the email printout with your other E-rate records!
- Electronic filing of the Form 470 is processed faster than mailed forms.
- You can complete multiple 470 forms or put all of the requests on one but multiple forms are preferred. If the request is denied because of a problem with one part, all of the award is lost if they are filed together.
- Call your telephone company or companies and ask for their Service Provider Identification Number (SPIN) for E-rate, you will need the SPIN Number for the next step, Form 471—You can also find the SPIN by using the tool on the E-rate website (see next slide for an example):
- http://www.sl.universalservice.org/Forms/SPIN_Contact_Search.asp

SPIN Search

You will need to know the "Service Provider Identification Number" for your telephone company to continue the process. You can get it by calling the phone company or using the USAC SPIN search at:

http://www.sl.universalservice.org/Forms/SPIN_Contact_Search.asp

and click Next

Enter the Service Provider's full legal name. If you don't know the full legal name, you can do a "wildcard" search using part of the name and ending with %.

When doing a wildcard search, enter as many characters as possible (at least 3) prior to the %. Remember, you must click NEXT in order to start the search.

Search for a SPIN and BEAR Contact by entering the Service Provider's Name or SPIN

OR

Enter the Service Provider's full SPIN.

SPIN:

Show how many results per page (Default:10, Max:99)?

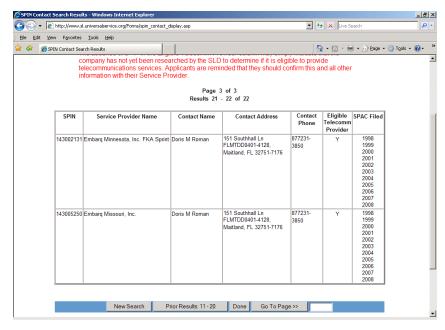
Clear Form

Name: embar%

Previous

Questions? Contact:

Below are the results of SPIN search for embar% Note that there were 20 entries found:



Rebecca Miller Millerrj@more.net (573) 884-2146

Jean Morrison Jean.Morrison@sos.mo.gov (800) 325-0131 Ext. 11

Resources

USAC E-rate Website links:

- On the Web: <u>www.universalservice.org/sl</u>
- http://www.usac.org/sl/applicants/step01/
- http://www.universalservice.org/sl/tools/deadlines/default.aspx
- http://www.sl.universalservice.org/menu.asp
- http://www.universalservice.org/sl/tools/required-forms.aspx
- http://www.universalservice.org/sl/tools/eligible-services-list.aspx
- http://www.universalservice.org/sl/tools/eligible-services-list.aspx
- http://www.usac.org/_res/documents/sl/pdf/2007_training/samples-checklist-vendorselection-templates.pdf?WT.mc_id=sl-newsbrief-20081114
- http://www.sl.universalservice.org/Forms/SPIN_Contact_Search.asp
- http://www.universalservice.org/sl/tools/search-tools/form470-search-posted.aspx

MOREnet Links:

- http://www.more.net/services/e-rate.steps.html
- http://www.more.net/services/e-rate/resources/statistics/index.html

ALA Training and Advisement Provided by:

Linda Schatz, ALA E-rate Task Force Chair

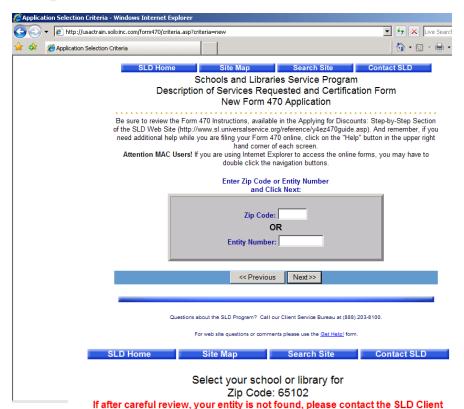
Electronic Form Filing

- Go to the Form 470 website
- Under Form 470, click on the "Create Form 470" button
 http://www.sl.universalservice.org/menu.asp

Applicants Service Providers Do you think your school or library is eligible for Schools & Libraries Program discounts? Check the Applicant section of this Reference Area website for detailed program information. SL Forms The buttons below allow you to: file a program form, continue with an incomplete form, certify a form, determine an **Data Requests** entity's eligibility for Internal Connections requests on the Form 471 under the Two-in-Five Rule, check an FRN's (Funding Funding Commitments Request Number) deadline extension status, or search for an entity number. Site Map The "Create Form 471" button below takes you to the item-by-item format of the online Form 471. The "Form 471 Interview" button will quide you through the application in a simple question and answer format. If you need assistance at PIN Request Area any time refer to the Form 471 Instructions on this website or contact our Client Service Bureau at the toll-free number (1-888-203-8100) listed at the bottom of each page. Caution: Do not use your browser 'Back' and 'Forward' buttons to navigate these applications. The 'Enter' key also should not be used. Use only the buttons located on the web pages to move through your application. View Forms Utilities Form 470 Form 471 Form 486 Search Site Services Ordered Description of Receipt of Service Enter Keyword Services Requested and Certification Confirmation Form and Certification Form Get the most out of Form your search query by **BEAR Online** Form 470 Interview Form 471 Interview Form 486 Interview Create Form 470 Create Form 471 Create Form 486 FRN Extension Status Search Posted Continue Incomplete Continue Incomplete **Entity Search** Two-In-Five Tool Continue Incomplete Certify Complete Display **Certify Complete** Display **Certify Complete Application Status** Item 21 Attachment **Item 21 Training**

Finding Your Entity

- Enter your Zip Code to find your Entity if you do not know your Entity Number
- A list of entities with the same Zip Code will come up
- Click on the radio button in front of the agency that receives your telephone bills and click Next>>



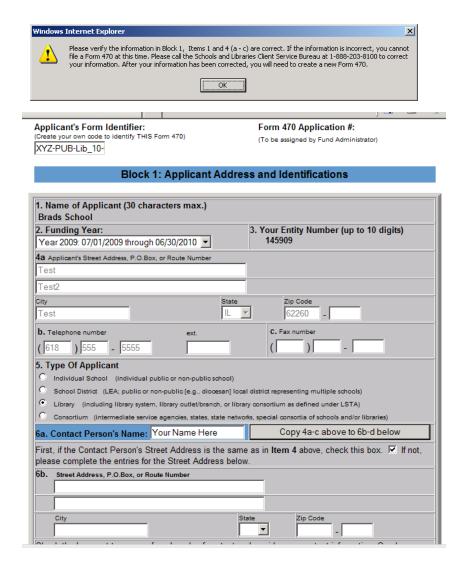
	Entity Number	Name	Street Address
•	137334	DIOC OF JEFFERSON CITY SCHOOLS	613 CLARK AVE,
0	200271	MISSOURI STATE LIBRARY	600 WEST MAIN ST.,PO BOX 387
0	220927	OFFICE OF ADMINISTRATION-DP&T	TRUMAN BUILDING, ROOM 280,
0	200114	OFFICE OF ADMINISTRATION-DP&T	TRUMAN BUILDING ROOM 280,PO BOX 809

Service Bureau at 1-888-203-8100 for assistance.

<< Previous Next >>

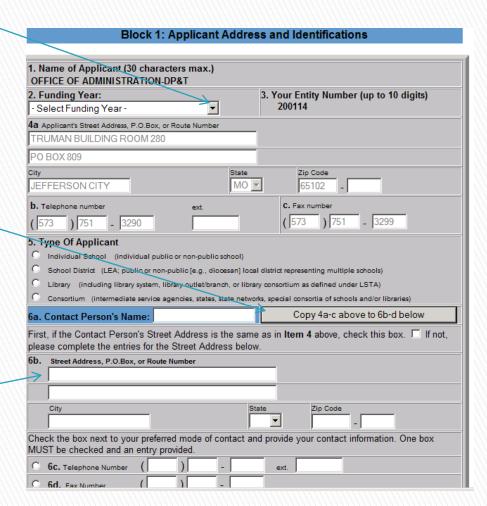
Applicant's Form Identifier:

- An information box may come up, read the warning, then click OK to close it
- Use the information from your written form to enter the Applicant's Form Identifier you created in the box above Block 1



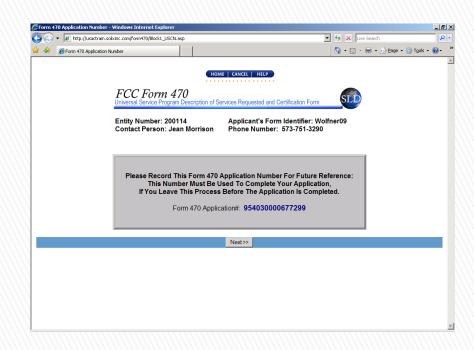
Block 1—Applicant Address/Identification

- Use the drop down to select the funding year
- Skip down to 6a. and enter the name of the contact person who is completing the form
- If the address is the same, you can either click on the bar "Copy 4a-c above to 6b-d below" to have the information duplicated **OR** Checkmark the box below 6b to indicate duplicate information
- If the address is different, enter the address in 6b through 6d
- Enter an email address is 6e (not shown on the screenshot)
- Select <u>one</u> as your preferred mode of contact from 6c through 6e



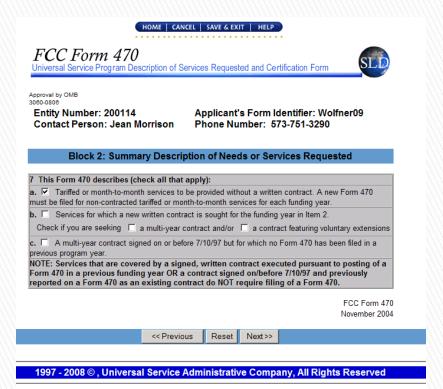
Your Application Number

- A screen will come up with your application number on it
- WRITE THIS NUMBER DOWN—you will need it in event you get timed out or you need to stop and come back to the application later
- Click Next>>



Block 2

- Click 7a to select "Tariffed or month-to-month services to be provided without a written contract. A new Form 470 must be filed for noncontracted tariffed or monthto-month services for each funding year."
- Click Next>>



Information Box

- An Information box will come up
- Click OK

IMPORTANT: Please read the following:

You will now provide details about the services you are seeking so that vendors may respond appropriately. You will be guided through separate screens for Telecommunications Services, Internet Access, Internal Connections Other than Basic Maintenance and/or Basic Maintenance of Internal Connections and have an opportunity to provide information on services you seek (if any) in each category.

Please Note:

- · If you checked Item 7a, you must provide information on the Telecommunications Services and/or Internet Access screen.
- · If you checked Item 7b, you must provide information on the Telecommunications Services and/or Internet Access and/or Internal Connections Other than Basic Maintenance and/or Basic Maintenance of Internal Connections screen.

Please use all of the screens that apply to the services you seek.

OK

Block 2 Telecommunications Services

- Select 8 "Telecommunications Services"
- If you have no RFP posted, select "b"
- Choose from the choices on line "c", remember ALA recommends the middle choice, but you can select any of the three
- Under Service or Function: Enter "Local and Long Distance Telephone" You can enter "Toll-Free Telephone" as well if you have a toll-free line
- Under "Quantity and/or Capacity" enter the number of telephone numbers you have. If in doubt about a few, enter a number larger than what you have. Such as if you think you have 18, you can enter 20 or more, because this number is an estimate but you can not add more later
- Click Next>>

Block 2: Summary Description of Needs or Services Requested

What kinds of services are you seeking for Telecommunications Services? (Refer to the Eligible Services List at www.sl.universalservice.org for examples). Please answer the questions below if you select this category.

Telecommunications Services On you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check (YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.				
YES, I have released or intend to release a	n RFP for these services. It is available or will become			
vailable on the Web at	or via (check one):			
☐ the Contact Person in Item 6 or ☐ the	e contact listed in Item 12.			
NO, I have not released and do not intend	to release an RFP for these services.			
ew ones). See the Eligible Services List at www.elecommunications services. Remember that or leavices under the universal service support mechanics of Check this box if you prefer liscounts on your bill. © Check this box if you prefer reimburse	and the second states of the s			
bill in full. Service or Function:	Quantity and/or Capacity:			
Local and Long Distance Telephone	10			

Block 2 Internet Access

- You are not filing for Internet Access, this is what your letter of Agency (LOA) allows MOREnet to file for E-rate on your behalf in order to provide your Internet Access
- If you have an <u>additional</u>
 Internet Access Provider,
 consider filing a separate Form
 470 for reimbursement for that
 provider's fee
- Skip this screen by scrolling to the bottom and clickingNext>>

Block 2: Summary Description of Needs or Services Requested

What kinds of services are you seeking for Internet Access? (Refer to the Eligible Services List at www.sl.universalservice.org for examples). Please answer the questions below if you select this category.

9 \sum Internet Access Do you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.				
a C YES, I have released or intend to release an RFP for these services. It is available or will become				
available on the Web at	or via (check one):			
☐ the Contact Person in Item 6 or ☐ the	e contact listed in Item 12.			
b O NO, I have not released and do not intend				
service or function (e.g., monthly Internet service)	pelow the Internet Access Services you seek. Specify each and quantity and/or capacity(e.g., for 500 users). See the arg for examples of eligible Internet Access services. Attach			
	c this box if you prefer C Check this box if you do not ment after paying your have a preference.			
Service or Function:	Quantity and/or Capacity:			

Block 2 Internal Connections Other than Basic Maintenance

- You are not filing for "Internal Connections Other than Basic Maintenance"
- If you are in a high percentage of Free & Reduced Lunch school district (greater than 80%), consider this for a separate Form 470, but do not enter anything on this Telecommunications Form 470
- Scroll to the bottom and click Next>>

Block 2: Summary Description of Needs or Services Requested

What kinds of services are you seeking for Internal Connections other than Basic Maintenance? (Refer to the Eligible Services List at www.sl.universalservice.org for examples). Please answer the questions below if you select this category.

10 Internal Connections Other than Basic Maintenance Do you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.					
a C YES, I have released or intend to release a	n RFP for these services. It is available or will become				
available on the Web at	or via (check one):				
☐ the Contact Person in Item 6 or ☐ the	e contact listed in Item 12.				
b O NO , I have not released and do not intend	to release an RFP for these services.				
each service or function (e.g., a router, hub and c	below the Internal Connections Services you seek. Specify abling) and quantity and/or capacity(e.g., connecting 1 ces List at www.sl.universalservice.org for examples of eligible ines if needed.				
	this box if you prefer C Check this box if you do not ment after paying your have a preference.				
Service or Function:	Quantity and/or Capacity:				

Block 2 Basic Maintenance of Internal Connections

- You are not filing for "Basic Maintenance of Internal Connections"
- If you are in a high percentage of Free & Reduced Lunch school district (greater than 80%), consider this for a separate Form 470, but do not enter anything on the Telecommunications Form 470
- Scroll to the bottom and click Next>>

Block 2: Summary Description of Needs or Services Requested

What kinds of services are you seeking for Basic Maintenance of Internal Connections? (Refer to the Eligible Services List at www.sl.universalservice.org for examples). Please answer the questions below if you select this category.

11 Basic Maintenance of Internal Connections Do you have a Request for Proposal (RFP) that specifies the services you are seeking? If you check YES, your RFP must be available to all interested bidders for at least 28 days. If you check YES and your RFP is not available to all interested bidders, or if you check NO and you have or intend to have an RFP, you risk denial of your funding requests.				
a C YES, I have released or intend to release a	an RFP for these services. It is available or will become			
available on the Web at	or via (check one):			
☐ the Contact Person in Item 6 or ☐ the	e contact listed in Item 12.			
b O NO, I have not released and do not intend	to release an RFP for these services.			
Whether you check YES or NO, you must list below the Basic Maintenance Services you seek. Specify each service or function (e.g., basic maintenance of routers) and quantity and/or capacity(e.g., for 10 routers). See the Eligible Services List at www.sl.universalservice.org for examples of eligible Basic Maintenance services. Attach additional lines if needed.				
c C Check this box if you prefer discounts on your bill. C Check this box if you prefer reimbursement after paying your have a preference. bill in full.				
Service or Function:	Quantity and/or Capacity:			

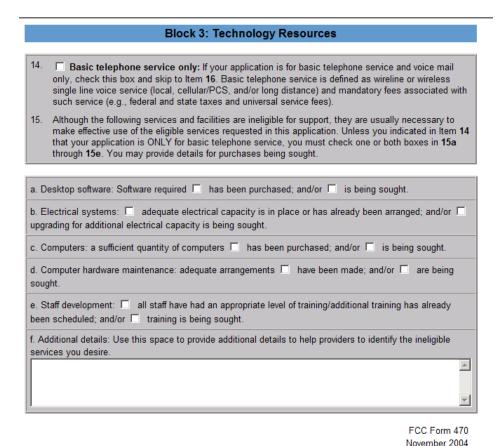
Block 2—Technical Information Contact Information

- Item 12—Optional You probably do not have a second person who can offer information, but if you do, enter that person's name and contact information here
- Item 13a and 13b read and answer or do not answer appropriately, most will have no restrictions
- Scroll to the bottom and click Next>>

	s from service providers about the services you are seeking. This need not b Item 6 nor the Authorized Person who signs this form.
Name:	Title:
Telephone number	Ext.
ax number	
E-mail Address	
and telephone number.	
Check this box if no s procurement of services so	ate and local procurement/competitive bidding requirements apply to the ight on this Form 470.

Block 3: Technology Resources

- Checkmark 14
- Do not checkmark any of the items under 15; these are for vendors to use to contact you with services offerings
- Click Next>>



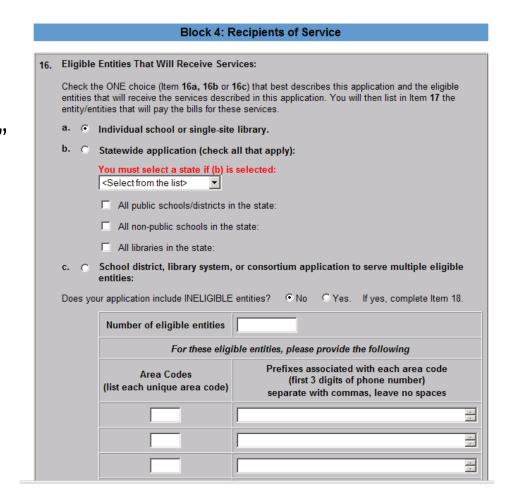
<< Previous

Reset

Next>>

Block 4-Recipients of Service

- Section 16, for library with branches, select "c"
- "Does your application include INELIGIBLE entities?" select "No" unless your library system includes branches that do not meet the LSTA definition of a library—see USAC link to this information on the References slide
- Leave all of the bottom boxes empty, scroll to the bottom and select Next>>



Block 4: Listing Recipients of Service

 Enter your Zip Code or Entity Number and click Search to find your Library (and possibly Branches) that receive the telephone bills

Please click on the Help button for specific instructions about completing this page Zip Code: OR Entity Number: Search Please select at least one Billed Entity. You may do multiple searches to add all eligible billed entities on this application. Selection List Billed Entity(ies) Add > Add > CRemove CREMOVE All CREMOVE All

Next>>

<< Previous

FCC Form 470 November 2004

Block 4: Listing Recipients of Service

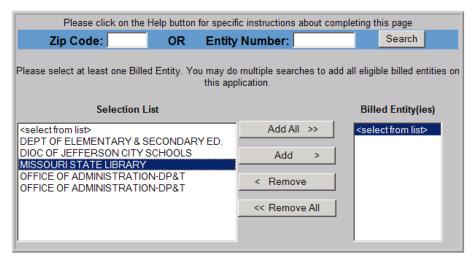
17. Billed Entities: List the entity/entities that will be paying the bills directly to the provider for the services requested in this application. These are known as Billed Entities. At least one line of this item must be completed. If a Billed Entity cited on your Form 471 is not listed below, funding may be denied for

Block 4: Listing Recipients of Service

- Select the name of the entity(s) that receives the bill in the left section
- TIP: This may seem confusing, think about the locations where one entity such as the city receives the bill for the library or a library system where one library receives the bill for all of the branches
- Click Add to move the name(s)
 of the entity or entities that
 receive the bill to the box on
 the right, repeat for all
 branches that receive bills in
 their names

Block 4: Listing Recipients of Service

17. Billed Entities: List the entity/entities that will be paying the bills directly to the provider for the services requested in this application. These are known as Billed Entities. At least one line of this item must be completed. If a Billed Entity cited on your Form 471 is not listed below, funding may be denied for the funding requests associated with this Form 470.



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t>>

Click Next>>

Billed Entities

This is a confirmation of selections you made in the previous screen. It is a list of who receives the bill, it may be the same as the library but if the library is a sub-entity of a city or county, that office may be receiving the bill

HOME | CANCEL | SAVE & EXIT | HELP

FCC Form 470

Universal Service Program Description of Services Requested and Certification Form



Approval by OMB 3060-0806

Entity Number: 200114 Contact Person: Jean Morrison Applicant's Form Identifier: Wolfner09 Phone Number: 573-751-3290

Billed Entities

	Entity Number	Entity
ı	200114	OFFICE OF ADMINISTRATION-DP&T

FCC Form 470 November 2004

Click Next>>

<< Previous

Block 6—Certifications Read each one before you select!

- Check Item 19 and check box "b" for Libraries
- Check Item 20 and check "a" if you have a current, approved technology plan on file at the State Library. If you do not have a Technology Plan because you do not get your Internet Access from MOREnet or do not have Internet access at your library, checkmark "c"
- Checkmark Item 21

Block 5: Certification and Signature

- 19. I certify that the applicant includes:(Check one or both.)
- a. \square schools under the statutory definitions of elementary and secondary schools found in the No Child Left Behind Act of 2001, 20 U.S.C.Secs.7081(18) and (38), that do not operate as for-profit businesses, and do not have endowments exceeding \$50 million; and/or
- b.

 Iibraries or library consortia eligible for assistance from a State library administrative agency unde the Library Services and Technology Act of 1996 that do not operate as for-profit businesses and whose budgets are completely separate from any school (including, but not limited to elementary and secondary schools, colleges, and universities).
- 20. I certify that all of the individual schools, libraries, and library consortia receiving services under this application are covered by technology plans that are written, that cover all 12 months of the funding year, and that have been or will be approved by a state or other authorized body, an SLD-certified technology plan approver, prior to the commencement of service. The plans were written at the following level(s):
- a. V individual technology plans for using the services requested in the application; and/or
- higher-level technology plans for using the services requested in the application; or
- c. In no technology plan needed; application requests basic local, cellular, PCS, and/or long distance telephone service and/or voice mail only
- 21. ✓ I certify that I will post my Form 470 and (if applicable) make my RFP available for at least 28 days before considering all bids received and selecting a service provider. I certify that all bids submitted will be carefully considered and the bid selected will be for the most cost-effective service or equipment offering, with price being the primary factor, and will be the most cost-effective means of meeting educational needs and technology plan goals. I certify that I will retain required documents for a period of at least five years after the last day of service delivered. I certify that I will retain all documents necessary to demonstrate compliance with the statute and Commission rules regarding the application for, receipt of, and delivery of services receiving schools and libraries discounts. I acknowledge that I may be audited pursuant to participation in the schools and libraries program.

Certifications, Continued

- Read and Checkmark22, 23, 24, 25, 26
- NOTE: Item 24 is the reason ALA is recommending that your library board take action to "authorize" you to file E-rate.
- 22. Usertify that the services the applicant purchases at discounts provided by 47 U.S.C. Sec. 254 will be used solely for educational purposes and will not be sold, resold, or transferred in consideration for money or any other thing of value, except as permitted by the Commission's rules at 47 C.F.R. Sec. 54.500(k). Additionally, I certify that the entity or entities listed on this application have not received anything of value or a promise of anything of value, other than the services and equipment sought by means of this form, from the service provider, or any representative or agent thereof or any consultant in connection with this request for services.
- 23. I acknowledge that support under this support mechanism is conditional upon the school(s) and/or library(ies) I represent securing access, separately or through this program, to all of the resources, including computers, training, software, internal connections, maintenance, and electrical capacity necessary to use the services purchased effectively. I recognize that some of the aforementioned resources are not eligible for support.
- 24. I certify that I am authorized to order telecommunications and other supported services for the eligible entity(ies). I certify that I am authorized to submit this request on behalf of the eligible entity(ies) listed on this application, that I have examined this request, and to the best of my knowledge, information, and belief, all statements of fact contained herein are true.
- 25. ✓ I certify that I have reviewed all applicable state and local procurement/competitive bidding requirements and that I have complied with them. I acknowledge that persons willfully making false statements on this form can be punished by fine or forfeiture, under the Communications Act, 47 U.S.C. Secs. 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. Sec. 1001.
- 26. I acknowledge that FCC rules provide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarment from the program.

Certifications, Continued

- There is no Item 27 or 28 in the online form (this was the signature and date signed on the paper copy)
- Item 29 is the name of the person who provides the Authorized Signature (the person with the PIN)
- Supply address and contact information for that person
- At the bottom of this screen is the address to send the signature to
- Scroll to the bottom and click Next>>

29. Printed name of authorized person	John Doe	
30. Title or position of authorized person	Director	
31a. Street Address, P.O. Box, or Route Number: 600 West Main		
City: Jefferson City State:	MO ▼ Zip Code: 65102 -	
31b. Telephone number of authorized person: (573) 751 - 0158 ext.		
31c. Fax number of authorized person: (573)- 751 6312		
31d. Email address of authorized person: John.Doe@sos.mo.gov		
31e. Name of authorized person's employer: Secretary of State		

Service provider involvement with preparation or certification of a Form 470 can taint the competitive bidding process and result in the denial of funding requests. For more information, refer to the SLD web site at www.sl.universalservice.org or call the SLD Client Service Bureau at 1-888-203-8100.

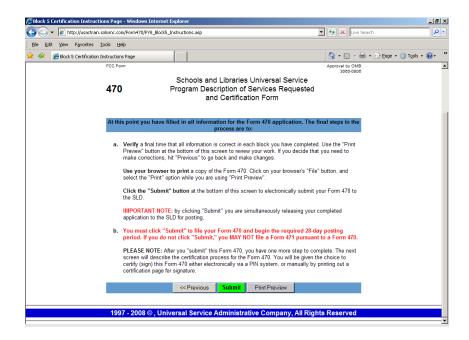
NOTICE: Section 54.504 of the Federal Communications Commission's rules requires all schools and libraries ordering services that are eligible for and seeking universal service discounts to file this Description of Services Requested and Certification Form (FCC Form 470) with the Universal Service Administrator. 47 C.F.R. § 54.504. The collection of information stems from the Commission's authority under Section 254 of the Communications Act of 1934, as amended. 47 U.S.C. § 254. The data in the report will be used to ensure that schools and libraries comply with the competitive bidding requirement contained in 47 C.F.R. § 54.504. All schools and libraries planning to order services eligible for universal service discounts must file this form themselves or as part of a consortium.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or a potential violation of any applicable statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing, or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government is a party of a proceeding before the body or has an interest in the proceeding. In addition, information provided in or submitted with this form or in response to subsequent inquiries may also be subject to disclosure consistent with the Communications Act of 1934, FCC

Printing a Copy for Your Records

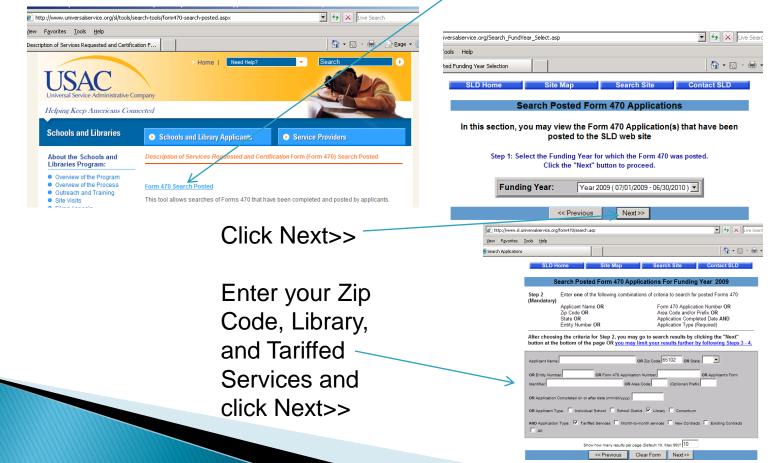
- Click the "Print Preview" button and print the document
- When certain that it is correct and complete, click Submit
- If you have an electronic PIN, you can enter it to sign
- If you have no PIN, a signature page will be printed and mailed to the address provided on the bottom of the page shown on the previous slide
- Sign and mail the signature block
- You will receive a Receipt Notification Letter "RNL" in the mail, but the form will not be posted until the signature is received and verified
- If you made any errors, the RNL will give you the opportunity to make corrections



Find Your Form 470 Posting

 You can check the USAC website to see what date your Form 470 was Posted at:

http://www.universalservice.org/sl/tools/search-tools/form470-search-posted.aspx



Find Your Form 470 Posting

A list will come up of all of the Form 470 that have been filed in your Zip Code

Click on the dot in the left column that is in front of your listing

Click on Review Selected Application

Look for the date "Certification Received Date"

You must wait at least 28 days after this date before filing a Form 471-Services Ordered

